

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: GEORGE

Serial No.: Not yet assigned

Filing Date: Filed herewith

For: METHOD AND APPARATUS FOR  
AGREEMENT NETTING

Examiner: Not yet assigned

Group Art Unit: Not yet assigned

Attorney Docket No.: G08.005

## Box PATENT APPLICATION

Commissioner for Patents  
Washington, D.C. 20231

## UTILITY PATENT APPLICATION TRANSMITTAL (Large Entity)

Sir:

Transmitted herewith for filing under 35 USC 111(a) and 37 CFR 1.53(b) is a new utility patent application for an invention entitled: **METHOD AND APPARATUS FOR AGREEMENT NETTING**

and invented by: **VINCE GEORGE**

Enclosed are:

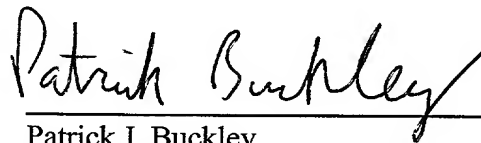
1. ☐ Filing Fee as calculated and transmitted as described below
2. ☒ Specification having 33 pages and including the following:
  - a. ☒ Descriptive Title of the Invention
  - b. ☐ Cross references to Related Applications (*if applicable*)
  - c. ☐ Statement Regarding Federally-sponsored Research/Development

- d. ☐ Reference to Microfiche Appendix (*if applicable*)
- e. ☒ Background of the Invention
- f. ☒ Brief Summary of the Invention
- g. ☒ Brief Description of the Drawings (*if drawings filed*)
- h. ☒ Detailed Description
- i. ☒ Claim(s) as Classified Below
- j. ☒ Abstract of the Disclosure
3. ☒ Drawing(s) (*when necessary as prescribed by 35 USC 113*)
- a. ☒ Formal          Number of Sheets 9
- b. ☐ Informal          Number of Sheets
4. ☐ Oath or Declaration
- a. ☐ Newly executed (*original or copy*)          ☐ Unexecuted
- b. ☐ Copy from a prior application (37 CFR 1.63(d))
- c. ☐ With Power of Attorney          ☐ Without Power of Attorney
- d. ☐ DELETION OF INVENTOR(S)  
Signed statement attached deleting inventor(s) named in the Prior Application, see 37. CFR 1.63(d)(2) and 1.33(b).
5. ☐ Incorporation By Reference (*usable if Box 4b is checked*)  
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.
6. ☐ Assignment Papers (*cover sheet & documents(s)*)
7. ☐ 37 CFR 3.73(B) Statement (*when there is an assignee*)
8. ☐ English Translation Document (*if applicable*)
9. ☐ Information Disclosure Statement/PTO-1449          ☐ Copies of IDS Citations
10. ☐ Preliminary Amendment
11. ☒ Acknowledgement Postcard
12. ☒ Mailed Via  
☐ First Class          ☒ Express Mail (*Specify Label No.*) **EV017948743US**
13. ☐ Certified Copy of Priority Document(s) (*if foreign priority is claimed*)
14. ☒ Request and Certification under 35 USC 122(b)(2)(B)(i) (*attach PTO/SB/35*)
15. ☐ Additional Enclosures: \_\_\_\_\_

**FEE CALCULATION**

| For   | No. Filed | No. Allowed | No. Extra | Rate     | Fee              |
|---|-----------|-------------|-----------|----------|------------------|
| Total Claims  | 19        | - 20        | 0         | \$ 18.00 | \$0.00           |
| Indep. Claims   | 5         | - 3         | 2         | \$ 84.00 | \$ 168.00        |
| Multiple Dependent Claims (If applicable, please add \$ 260.00) |           |             |           |          | \$0.00           |
| <b>BASIC FEE</b>  |           |             |           |          | \$740.00         |
| <b>OTHER FEE</b> (specify purpose):                             |           |             |           |          | \$0.00           |
| <b>TOTAL FILING FEE</b>   |           |             |           |          | <b>\$ 908.00</b> |

Respectfully submitted,



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January 14, 2002  
 Date

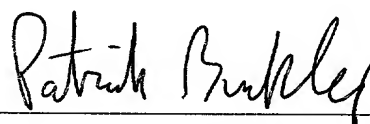
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

|  |                      |  |
|--|----------------------|--|
| <b>REQUEST AND CERTIFICATION<br/>UNDER<br/>35 U.S.C. 122(b)(2)(B)(i)</b> | First Named Inventor | GEORGE                                     |
|  | Title                | Method and Apparatus for Agreement Netting |
|  | Atty Docket Number   | G08.005                                    |

I hereby certify that the invention disclosed in the attached application **has not and will not** be the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. 122(b).

January 14, 2002

Date



Signature

Patrick Buckley (Reg. No. 40,928)

Typed or printed name

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application **upon filing**.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant **must** notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. **Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).**

Burden Hour Statement: This collection of information is required by 37 CFR 1.213(a). The information is used by the public to request that an application not be published under 35 U.S.C. 122(b) (and the PTO to process that request). Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This form is estimated to take 6 minutes to complete. This time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.